

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

31109 U.S. PTO  
10/675697



<b>Attorney Docket No.</b>		HSJ9-2003-0032US1		(0107-0028)	
<b>First Inventor r Application Identifier:</b>		Baer			
<b>Title:</b>		METHOD OF FORMING A READ SENSOR USING PHOTORESIST STRUCTURES WITHOUT UNDERCUTS WHICH ARE REMOVED USING CHEMICAL-MECHANICAL POLISHING (CMP) LIFT-OFF PROCESSES			
<b>Express Mail Label No.:</b>		EV 300425480 US			
<b>Application Elements</b> <small>(See MPEP chapter 600 concerning utility patent application contents)</small>			<b>ADDRESS TO:</b> MAIL STOP PATENT APPLICATION Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450		
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b>  <small>(Submit an original, and a duplicate for fee processing)</small></p> <p>2. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <u>22</u>]  <small>(preferred arrangement set forth below)</small></p> <ul style="list-style-type: none"> <li>• Descriptive title of the Invention</li> <li>• Cross References to Related Applications</li> <li>• Statement Regarding Fed sponsored R&amp;D</li> <li>• Background of the Invention</li> <li>• Brief Summary of the Invention</li> <li>• Brief Description of the Drawings (if filed)</li> <li>• Detailed Description</li> <li>• Claim(s)</li> <li>• Abstract of the Disclosure</li> </ul> <p>3. <input checked="" type="checkbox"/> <b>Drawing(s)</b> (35 USC 113) [Total sheets <u>10</u>]</p> <p>4. <input checked="" type="checkbox"/> <b>Oath or Declaration</b> [Total Pages <u>2</u>]</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Newly executed (original or copy)</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d))  <small>(for continuations/divisional with Box 17 completed)</small>  <small>[Note Box 5 below]</small></p> <p style="margin-left: 20px;">i. <input type="checkbox"/> <b>Deletion of Inventor(s)</b>  <small>Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>5. <input type="checkbox"/> <b>Incorporation by Reference</b> <small>(useable if Box 4b is checked)</small>  <small>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</small></p> </div> <div style="width: 45%;"> <p>6. <input type="checkbox"/> <b>Microfiche Computer Program</b> (Appendix)</p> <p>7. <input type="checkbox"/> <b>Nucleotide and/or Amino Acid Sequence Submission</b>  <small>(if applicable, all necessary)</small></p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Computer Readable Copy</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> Statement Verifying identity</p> </div> </div>					
<div style="border: 1px solid black; padding: 5px;"> <p style="text-align: center; margin: 0;"><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>8. <input checked="" type="checkbox"/> <b>Assignment</b></p> <p>9. <input type="checkbox"/> <b>37 CFR 3.73(b) Statement</b>  <small>(when there is an assignee)</small></p> <p>10. <input type="checkbox"/> <b>English Translation Document</b> (if applicable)</p> <p>11. <input checked="" type="checkbox"/> <b>Information Disclosure</b> <input checked="" type="checkbox"/> <b>Copies of IDS</b>  <small>Statement (Form 1449) Citations</small></p> <p>12. <input type="checkbox"/> <b>Preliminary Amendment</b></p> <p>13. <input checked="" type="checkbox"/> <b>Return Receipt Postcard</b> (CPEP 503)  <small>(Should be specifically itemized)</small></p> <p>14. <input type="checkbox"/> <b>Small Entity</b> <input type="checkbox"/> <b>Statement filed in prior application,</b>  <small>Statement Status is still proper and desired</small></p> <p>15. <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b>  <small>(if foreign priority is claimed)</small></p> <p>16. <input checked="" type="checkbox"/> <b>OTHER: Express Mail Certification</b>  <small>Check # <input type="text"/> (\$ <input type="text"/>)</small></p> </div>					
<p>17. If a <b>CONTINUING APPLICATION</b>, check appropriate box and supply the requisite information:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-In-Part of prior application no.: _____</p> <p><b>Prior application information:</b> Examiner: _____ Group/Art Unit: _____</p>					
<b>18. CORRESPONDENCE ADDRESS</b>					
<div style="display: flex; justify-content: space-between;"> <div style="width: 30%;"> <p><input type="checkbox"/> Customer Number ( <input type="text"/> )</p> <p><input type="checkbox"/> Or Bar Code Label</p> </div> <div style="width: 30%; border: 1px solid black; height: 80px;"></div> </div> <p>OR</p> <p><input checked="" type="checkbox"/> Correspondence Address Below</p>					
<b>NAME</b>		ATTN: John J. Oskorep			
<b>ADDRESS</b>		One Magnificent Mile Center 980 N. Michigan Avenue, Suite 1400 Chicago, Illinois 60611			
Telephone: 312-222-1860		Fax No.: 312-214-6303			
Name (print/type)		JOHN J. OSKOREP		Registration No.: 41,234 <small>(Attorney/Agent)</small>	
Signature				Date: 30 Sept 2003	

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## FEE TRANSMITTAL

Attorney Docket No.	HSJ9-2003-0032US1
First Named Inventor:	Baer
Application Number	not yet assigned
Filing Date:	not yet assigned
Examiner Name:	not yet assigned
Group/Art Unit:	not yet assigned

<b>TOTAL AMOUNT OF PAYMENT:</b>	<b>\$ 970.00</b>
<b>METHOD OF PAYMENT (check One)</b>	1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and/or credit any over payment to:  Deposit Account No.: 50-2587 Deposit Account Name: Hitachi Global Storage Technologies  <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17  2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other

### 2. UTILITY Basic Filing Fee & Claims

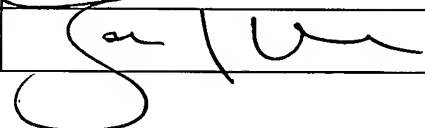
(1) For	(2) No. filed	(3) No. extra	(4) Large Entity	(5) Small Entity	(6) Calculations
Basic Filing Fee	XX	XX	\$ 750.00	\$375.00	\$ 750.00
Total Claims	30 - 20 =	10	X \$ 18.00	X \$ 9.00	\$ 180.00
Independent Claims	3 - 3 =	0	X \$ 84.00	X \$ 42.00	\$ 0.00
Multiple Dependent Claim(s) (if applicable)		0	\$ 280.00	\$140.00	\$ 0.00
<b>Total of above Calculations =</b>					<b>\$ 930.00</b>

Basic Filing Fee	Large Entity	Small Entity	Total
Design filing fee	\$ 330.00	\$ 165.00	\$ 0.00
Reissue filing fee	\$ 740.00	\$ 370.00	\$ 0.00
Provisional filing fee	\$ 160.00	\$ 80.00	\$ 0.00
<b>Total of above Calculations =</b>			<b>\$ 0.00</b>

### 3. ADDITIONAL FEES

Fee Description	Large Entity	Small Entity	Other
Assignment Recordation	\$ 40.00	\$	\$
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
<b>TOTAL:</b>			<b>\$ 40.00</b>

Name (print/type)	John J. Oskorep	Registration No.: (Attorney/Agent)	41,234
Signature		Date	30 Sept 2003

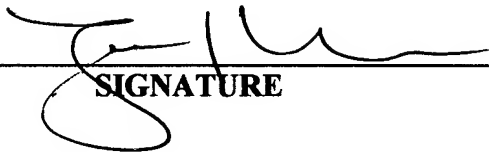
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DATE OF DEPOSIT: 30 Sept 2003

**I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING  
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37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED  
TO THE ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231.**

John J. Oskorep

NAME



SIGNATURE